**Course Objective:**

The objective of this course is to give students a general understanding of the different financial markets and institutions in the context of both the U.S. and Singapore. The financial assets traded in these markets, the financial services and instruments these institutions offer, and the mechanisms and characteristics influencing the value of these assets and instruments will also be discussed.

**Special Note:**

There will be 3 weeks when the regular classes cannot be held (please see tentative lesson schedule at the end of this outline). Two of them will be replaced by e-learning and one will be make-up for during the recess week. I beseech your understanding. The good news is that you end the semester a week before your other modules.
Assessment: This is a 100% CA course

Mid-Term 40
Final Quiz 20
Term project 30
Class participation 10

Total 100

Mid-Term:
Date: March 14, 2014 (In Class)
The mid-term test will be a 2-hour close-book test covering topics up to and including “The Equity Market”. The mid-term will be held in class. Students are to make sure that they are available to sit for the test.

Final Quiz:
Date: April 11, 2014 (In class)
The final quiz will be a 1-hour close-book quiz covering only topics not covered in the mid-term test. The quiz will be held during class hours. Students are to make sure that they are available to sit for the quiz.

Term project:
The term projects will be in terms of Student Teaching Assignments. The objective of the project is to allow students to research into issues and questions related to the topics covered in the course and to share the knowledge they have acquired with the rest of the class. Students are to form into groups of their own preference by the end of week 4 or they will be assigned to groups according to the discretion of the instructor. The research questions and the date of the presentation will be randomly assigned. Groups will be graded based on the presentation (which will be spaced out throughout the second half of the course) and a written report. All soft copies of the projects will be put up in the IVLE and the material covered in these reports may be included in the final quiz.

Class participation:
Students are expected to participate actively during classes.

Points to Note:
1. Financial calculators will be needed in the course.
2. **Attendance**: Students must not miss more than 2 classes (not including absence due to medical (accompanied by medical certificates) or compassionate reasons). **Violators will be heavily penalized or may even fail the entire module**.
3. CA Attendance: Students who miss any CA component will receive zero marks for that particular component. Absentees due to medical (accompanied by medical certificates) or compassionate reasons may be given a substitute form of assessment.
4. Students are encouraged to always feedback to the instructor comments and suggestions that may help the class to learn better.
5. Students are to check the IVLE weekly for announcements.
6. Forum in IVLE exclusively for students’ discussions
7. Please use NUS e-mail for e-mail communications
<table>
<thead>
<tr>
<th>Week</th>
<th>Week Starting</th>
<th>Topic and Activity</th>
<th>Chapters</th>
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<tbody>
<tr>
<td>1</td>
<td>Jan 17</td>
<td>Introduction and Financial Institutions I</td>
<td>1-7</td>
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| 2    | Jan 24        | *Professor Serving Country*  
No Class: To be replaced by e-learning |          |
| 3    | Jan 31        | *Chinese New Year*  
No Class: Make-up with e-learning  
(between week 4 & 5) |          |
| 4    | Feb 7         | Financial Institutions II: Banks | 3, 12    |
| 5    | Feb 14        | Interest Rates and the Debt Market | 17-24, 29 |
| 6    | Feb 21        | The Equity Market | 13-14    |
| 7    | Feb 28        | *Term Break*  
Make-up Class  
The Equity Market | 13-14    |
| 7    | Mar 7         | The Forex Market | 33       |
| 8    | Mar 14        | *Revision for Mid-Term*  
Mid-Term Exam |          |
| 9    | Mar 21        | The Forex Market | 33       |
| 10   | Mar 28        | The Derivatives Market | 10-12, 15-16 |
| 11   | Apr 4         | The Derivatives Market | 10-12, 15-16 |
| 12   | Apr 11        | Student Teaching Assignment (Group 5)  
Student Teaching Assignment (Group 6) |          |
| 13   | Apr 18        | *No Class: Good Friday* |          |