



*NATIONAL UNIVERSITY
OF SINGAPORE*
Department of Finance



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Class Hours:

H1	Thursday	8 am to 11 am
H2	Thursday	2 am to 5 am

Consultation Hours:

Monday	2 pm to 5 pm
Wednesday	2 pm to 5 pm

Recommended Textbooks: K. Thomas Liaw (2012), "The Business of Investment Banking (A Comprehensive Overview)" 3rd edition, John Wiley & Sons, Inc.

Textbooks requirement: Buying of textbooks is **optional**

Prerequisites: FIN3101, FIN3102, FIN3103

Course Synopsis:

This is a course on investment banking designed to introduce students to the world of investment banks. The course will help students to understand the various business activities investment banks typically undertake and the various financial instruments created and used by investment banks. The global environment, challenges, and opportunities relevant to investment banking will also be covered.

Investment banks had played a pivotal role in the some of the recent crises, including the sub-prime crisis which led to the **Great Recession**. Investment banking products and activities are highly integrated with various other financial markets and institutions in such a way that any lapse in the investment banking industry may create ripple effects that are far reaching and with multiplied intensity. As a result, the business and regulatory landscape for investment banks are undergoing major changes. Hence, such a study is indeed timely.

Classes will be conducted in a more participative style. Lectures will cover the basic knowledge and extended learning will include term projects and cases discussions.

Lesson Schedule (Tentative):

Week	Week Starting	Topic and Activity	Chapters
1	Jan 15	Introduction to Investment Banking	1, 2, 3, 4, 16, 19
2	Jan 22	Introduction to Investment Banking	1, 2, 3, 4, 16, 19
3	Jan 29	Private Equity	6
4	Feb 5	Mergers and Acquisitions	7
5	Feb 12	Mergers and Acquisitions	7
6	Feb 19	<i>Chinese New Year</i>	
	Feb 26	<i>Term Break</i>	
7	Mar 5	Valuation	
	Mar 7	Mid-Term Test	
8	Mar 12	<i>E-Learning Week</i> Equity Underwriting and Foreign Listings	8, 11
9	Mar 19	Equity Underwriting and Foreign Listings Debt and the Repo Market	8, 11 9, 13
10	Mar 26	Debt and the Repo Market <i>Project Presentations 1 & 2</i>	9, 13
11	Apr 2	Asset Securitization and Financial Engineering <i>Project Presentations 3 & 4</i>	10, 14
12	Apr 9	Asset Securitization and Financial Engineering <i>Project Presentations 5 & 6</i>	10, 14
13	Apr 16	Final Test Ending Case	