



*NATIONAL UNIVERSITY
OF SINGAPORE*
Department of Finance



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Class Hours:

Group A1:	Tuesday	8 am to 11 am
Group A2:	Tuesday	2 pm to 5 pm
Group A3:	Thursday	8 am to 11 am

Consultation: E-mail at least the day before

Recommended Textbooks: Frederic S. Miskin and Stanley G. Eakins (2015), "Financial Markets and Institutions" 8th edition, Pearson Education

Reference Textbooks: Saunders, Anthony and Marcia Millon Cornett (2012), "Financial Markets and Institutions" 5th edition, McGraw Hill

Textbooks requirement: Buying of textbooks is **OPTIONAL**

Prerequisites: FIN2004

Course Objective:

The objective of this course is to give students a general understanding of the different financial markets and institutions in the context of both the U.S. and Singapore. The financial assets traded in these markets, the financial services and instruments these institutions offer, and the mechanisms and characteristics influencing the value of these assets and instruments will also be discussed.

Assessment: This is a 100% CA course

Term Test	40
Term project	30
Final Quiz	20
Class participation	10
Total	100

Term Test:

Date: October 8, 2016 (Saturday)

The term test will be a 2-hour close-book test covering topics up to and including “The Equity Market”. Students are to make sure that they are available to sit for the test.

Final Quiz:

Date: Week 13 (In class)

The final quiz will be a 1-hour close-book quiz covering only topics not covered in the mid-term test. The test will be held **in class**. Students are to make sure that they are available to sit for the quiz.

Term project:

The term projects will be in terms of Student Teaching Assignments. The objective of the project is to allow students to research into issues and questions related to the topics covered in the course and to share the knowledge they have acquired with the rest of the class. Students will be **grouped randomly**. The research questions and the date of the presentation will also be **randomly assigned**. Groups will be graded based on the presentation (which will be spaced out throughout the last weeks of the semester) and a written report. All soft copies of the projects will be put up in the IVLE and the material covered in these reports may be included in the final quiz.

Class participation:

Students are expected to participate actively during classes.

Points to Note:

1. Financial calculators may be needed in the course.
2. **Attendance:** Students must not miss more than 2 classes (not including absence due to medical (accompanied by medical certificates) or compassionate reasons). **Violators will be heavily penalized or may even fail the entire module.**
3. CA Attendance: Students who miss any CA component will receive zero marks for that particular component. Absentees due to medical (accompanied by medical certificates) or compassionate reasons may be given a substitute form of assessment.
4. Students are encouraged to always feedback to the instructor comments and suggestions that may help the class to learn better.
5. Students are to check the IVLE weekly for announcements.
6. Forum in IVLE exclusively for students' discussions
7. Please use NUS e-mail for e-mail communications

**SPECIAL NOTE FROM THE BBA OFFICE:
ACADEMIC HONESTY & PLAGIARISM**

Academic integrity and honesty is essential for the pursuit and acquisition of knowledge. The University and School expect every student to uphold academic integrity & honesty at all times. Academic dishonesty is any misrepresentation with the intent to deceive, or failure to acknowledge the source, or falsification of information, or inaccuracy of statements, or cheating at examinations/tests, or inappropriate use of resources.

Plagiarism is 'the practice of taking someone else's work or ideas and passing them off as one's own' (The New Oxford Dictionary of English). The University and School will not condone plagiarism. Students should adopt this rule - You have the obligation to make clear to the assessor which is your own work, and which is the work of others. Otherwise, your assessor is entitled to assume that everything being presented for assessment is being presented as entirely your own work. This is a minimum standard. **In case of any doubts, you should consult your instructor.**

Additional guidance is available at:

<http://www.nus.edu.sg/registrar/adminpolicy/acceptance.html#NUSCodeofStudentConduct>

Online Module on Plagiarism:

<http://emodule.nus.edu.sg/ac/>

Lesson Schedule (Tentative):

Week	Week Starting	Topic and Activity	Chapters
1	Aug 7	National Day Holiday*	
2	Aug 14	Introduction and Financial Institutions I	1, 2, 7-8, 20-22
3	Aug 21	Introduction and Financial Institutions I	1, 2, 7-8, 20-22
4	Aug 28	Financial Institutions II: Banks	9, 17-19, 23
5	Sept 4	Financial Institutions II: Banks	9, 17-19, 23
6	Sept 11	Interest Rates and the Debt Market	3-5, 11-12
	Sept 18	Term Break	
7	Sept 25	Interest Rates and the Debt Market The Equity Market	3-5, 11-12 13
8	Oct 2	The Equity Market	13
	Oct 8	Term Test	
9	Oct 9	Forex Market	15-16
10	Oct 16	Forex Market <i>Project Presentations 1, 2, 3</i>	15-16
11	Oct 23	Forex Market <i>Project Presentations 4, 5, 6</i>	15-16
12	Oct 30	Derivatives Market (Partially e-lecture) <i>Project Presentations 7 & 8</i>	24
13	Nov 6	Final Test	

* August 9 is National Day holiday when A1 and A2 we are supposed to have their first class. For consistency, all three sessions will start classes on Week 2.